

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/769,777	02/03/2004	Jun-ho Sung	101-1015	9278
38209 STANZIONE	7590 04/18/2007 & KIM II P		EXAMINER	
919 18TH STF			FUJITA, KATRINA R	
SUITE 440 WASHINGTON, DC 20006			ART UNIT	PAPER NUMBER
	, =		2609	
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		04/18/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)	
	10/769,777	SUNG ET AL.	
Office Action Summary	Examiner	Art Unit	-
	Katrina Fujita	2609	
The MAILING DATE of this communication Period for Reply	appears on the cover sheet w	ith the correspondence address	
A SHORTENED STATUTORY PERIOD FOR REI WHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory per  - Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the material patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUN 1.136(a). In no event, however, may a liod will apply and will expire SIX (6) MO litute, cause the application to become A	CATION. reply be timely filed  NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on _			
	his action is non-final.		
3) Since this application is in condition for allow	wance except for formal mat	ters, prosecution as to the merits is	
closed in accordance with the practice unde	er <i>Ex parte Quayle</i> , 1935 C.I	D. 11, 453 O.G. 213.	
Disposition of Claims	•		
4)⊠ Claim(s) <u>1-51</u> is/are pending in the applicati	on.		
4a) Of the above claim(s) is/are without	Irawn from consideration.		
5) Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>1-51</u> is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction and	d/or election requirement.		
Application Papers			
9)⊠ The specification is objected to by the Exam	iner.		
10)⊠ The drawing(s) filed on <u>03 February 2004</u> is	/are: a)⊠ accepted or b)□	objected to by the Examiner.	
Applicant may not request that any objection to t	he drawing(s) be held in abeya	nce. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the corr	rection is required if the drawing	g(s) is objected to. See 37 CFR 1.121(d).	
11)☐ The oath or declaration is objected to by the	Examiner. Note the attache	d Office Action or form PTO-152.	
Priority under 35 U.S.C. § 119		·	
12)⊠ Acknowledgment is made of a claim for fore a)⊠ All b)□ Some * c)□ None of:	ign priority under 35 U.S.C.	§ 119(a)-(d) or (f).	
1. Certified copies of the priority docume	ents have been received.		
2. Certified copies of the priority docume	ents have been received in A	Application No	
<ol><li>Copies of the certified copies of the p</li></ol>	riority documents have beer	received in this National Stage	
application from the International Bur	eau (PCT Rule 17.2(a)).		
* See the attached detailed Office action for a	ist of the certified copies no	received.	
Attachment(s)			
1) Notice of References Cited (PTO-892)		Summary (PTO-413)	
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08)		(s)/Mail Date Informal Patent Application	
Paper No(s)/Mail Date <u>02/10/2004</u> .	6) Other:		

Application/Control Number: 10/769,777 Page 2

Art Unit: 2609

### **DETAILED ACTION**

### **Priority**

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

## Specification

2. The disclosure is objected to because of the following informalities:

In paragraph 0042, line 1, "201" should be --S201--.

Appropriate correction is required.

# Claim Suggestions

3. In claim 8, line 3, "a threshold value for determining whether to split the sub block" should be changed to -- a the threshold value for determining whether to split the sub macro block--.

### Claim Objections

4. The following is a quotation of 37 CFR 1.75(a):

The specification must conclude with a claim particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention or discovery.

5. Claim 8 is objected to under 37 CFR 1.75(a), as failing to particularly point out and distinctly claim the subject matter which application regards as his invention or discovery.

Claim 8 lacks antecedent basis for "the operation of determining whether to split the sub block" in line 1. The following will be assumed for examination purposes: --the operation of determining whether to split the sub macro block--. This also will apply to lines 5, 6 and 8.

Claim 8 lacks antecedent basis for "determining the possibility of splitting the sub block" in line 2. The following will be assumed for examination purposes: --determining the possibility of splitting the sub macro block--.

Claim 8 lacks antecedent basis for "the ratio of maximum MAD to minimum MAD of the smaller sub block" in line 7. The following will be assumed for examination purposes: --the ratio of maximum MAD to minimum MAD of the smaller sub macro block--.

### Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Page 4

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 7. Claims 1, 10, 13, 25, 27, 36, 39 and 51 are rejected under 35 U.S.C. 102(b) as being anticipated by Mancini et al. ("Robust quadtree-based disparity estimation...", SPIE article).

Regarding claims 1, 10, 25, 27, and 36, Mancini et al. discloses an apparatus (an apparatus is inherent to carry out the function of the method) and a recording medium on which a method is written as a program code that can be read and executed on a computer (it is inherent that the method is written on a recording medium to enable the method to performed), the program coded method of splitting an image block ("identify problematic blocks...and then split them" at section 6.5, paragraph 2, line 1) comprising:

setting a plurality of splitting threshold values ("The steps above rely on three threshold values" at section 6.5.1, paragraph 3, line 10) for a macro block ("block B<sub>ii</sub>" at section 6.5.1, paragraph 3, line 4) in an image frame and determining whether to split the macro block into sub blocks ("determine whether block Bii requires splitting" at section 6.5.1, paragraph 3, line 6); and

Application/Control Number: 10/769,777

Art Unit: 2609

setting a plurality of splitting threshold values ("The steps above rely on three threshold values" at section 6.5.1, paragraph 3, line 10) for each sub block and determining whether to split each sub block into smaller sub blocks ("Sub-blocks that will still fall on object boundaries can undergo further splitting" at section 6.5, paragraph 2, line 5)

wherein the image frame is a binocular image frame ("intermediate image I<sub>I</sub> (Fig. 1) from the left-right image pair" at section 2, paragraph 2, line 4) representing a three dimensional image ("the '3D-ness' of the data" at section 1, paragraph 1, line 9).

Regarding claims 13, 39 and 51, Mancini et al. discloses an apparatus (an apparatus is inherent to carry out the function of the method) and method of splitting a block comprising:

splitting macro image blocks ("determine whether block B<sub>ij</sub> requires splitting" at section 6.5.1, paragraph 3, line 6) each of left-eye views and right eye views ("stereoscopic test images" at section 6.5, paragraph 1, line 2) into sub image blocks ("split them into four equal-sied sub-blocks" at section 6.5, paragraph 2, line 1) according to quadtree disparity estimation ("Quadtree disparity estimation" at section 6.5) and splitting each sub block into smaller sub blocks ("Sub-blocks that will still fall on object boundaries can undergo further splitting" at section 6.5, paragraph 2, line 5).

Application/Control Number: 10/769,777 Page 6

Art Unit: 2609

## Claim Rejections - 35 USC § 103

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

9. Claims 2, 3, 11, 12, 14-16, 19, 22, 26, 28, 29, 38, 40-42, 45, and 48 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Mancini et al. and Keith et al. (US 4,785,349).

Regarding claims 2, 3, 16, 26, 28, 29 and 42, Mancini et al. discloses an apparatus (an apparatus is inherent to carry out the function of the method) and a recording medium on which a method is written as a program code that can be read and executed on a computer (it is inherent that the method is written on a recording medium to enable the method to performed), the program coded method of splitting an image block, comprising:

setting a plurality of macro block, splitting threshold values for splitting a macro block in an image frame into sub blocks and determining whether to split the macro block; and

setting a plurality of sub block splitting threshold values for splitting the sub block into smaller sub blocks and determining whether to split the sub block into smaller sub blocks.

Mancini et al. does not teach that the step of determining whether to split the macro block is done according to whether a preceding macro block at the same location in a preceding image frame as the current macro block has been split and the step of determining whether to split the sub block is done according to whether a preceding sub block at the same location in a preceding macro block as the current sub block has been split.

Keith et al. discloses a system wherein the step of determining whether to split the macro block ("entire image area is selected as the target region. If this region cannot be adequately encoded, it is split into sub-regions" at col. 26, line 51) is done according to whether a preceding macro block at the same location in a preceding image frame as the current macro block ("region of a previous frame may be found that corresponds fairly well to a region being coded in a current frame" at col. 25, line 55) has been split ("If the comparison indicates that this best choice for a translated region does not provide an acceptable match to the target region, the target region is checked for minimum size (3924). If the target region is larger than the minimum size, it is split" at col. 27, line 16) and the step of determining whether to split the sub block ("subregions which are subsequently examined for encoding" at col. 26, line 53) is done according to whether a preceding sub block at the same location in a preceding macro block as the current sub block ("region of a previous frame may be found that corresponds fairly well to a region being coded in a current frame" at col. 25, line 55) has been split ("If the comparison indicates that this best choice for a translated region does not provide an acceptable match to the target region, the target region is checked

Application/Control Number: 10/769,777

Art Unit: 2609

for minimum size (3924). If the target region is larger than the minimum size, it is split at col. 27, line 16).

It would have been obvious at the time the invention was made to one of ordinary skill in the art for the split condition of Mancini et al. to be determined using the interframe coding taught by Keith et al. as described above, for "one need only code the differences rather than the absolute values" (Keith et al. at column 25, line 57).

Regarding **claims 11, 12, 19, 37, 38, and 45**, Mancini et al. discloses an apparatus (an apparatus is inherent to carry out the function of the method) and a method wherein the image frame is a binocular image frame representing a three dimensional image as described in the 102 rejection of claim 10 above.

Regarding claims 14, 15, 22 40, 41 and 48, Mancini et al. discloses an apparatus (an apparatus is inherent to carry out the function of the method) and a method wherein the operation of splitting is performed using quadtree disparity estimation as described in the 102 rejection of claim 13 above.

10. Claims 4, 6, 30 and 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Mancini et al. and Boyce (US 5,208,673).

Mancini et al. discloses an apparatus (an apparatus is inherent to carry out the function of the method) and a method of splitting a block wherein the operation of setting a plurality of splitting threshold values for a macro block in an image frame and determining whether to split the macro block into sub blocks and wherein the operation

of setting a plurality of splitting threshold values for each sub block and determining whether to split each sub block into smaller sub blocks comprises:

determining the possibility of splitting the macro block ("determine whether block  $B_{ij}$  requires splitting" at section 6.5.1, paragraph 3, line 6) by determining whether the ratio of maximum mean absolute difference (MAD) to minimum MAD of a sub block ("max( $\kappa_i$ , i = 1,...,4)/min( $\kappa_i$ , l = 1,...,4) <  $\theta_3$ " at section 6.5.1, paragraph 3, line 9; "ratio of the maximum to the minimum number of outliers in the four sub-blocks" at section 6.5.1, paragraph 3, line 13) in the macro block is greater than a threshold value ("threshold value  $\theta_3$ " at section 6.5.1, paragraph 3, line 12) for determining the possibility of splitting the macro block; and

determining whether to split the macro block by comparing the threshold value for determining the possibility of splitting the macro block ("Threshold  $\theta_2$ " at section 6.5.1, paragraph 3, line 11), and comparing the ratio of maximum MAD to minimum MAD, and a threshold value for determining whether to split the macro block with one another ("max( $\kappa_i$ ,  $i=1,\ldots,4$ )/min( $\kappa_i$ ,  $l=1,\ldots,4$ ) <  $\theta_3$ " at section 6.5.1, paragraph 3, line 9; "ratio of the maximum to the minimum number of outliers in the four sub-blocks" at section 6.5.1, paragraph 3, line 13), if a ratio is greater than the threshold for determining the possibility of splitting the macro block ("if  $\Sigma$   $\kappa_i$  <  $\theta_2$  then do not split, otherwise continue" at section 6.5.1, paragraph 3, line 8; "tolerable number of outliers as a fraction of the block size" at section 6.5.1, paragraph 3, line 11) and determining whether to split the macro block into the sub blocks,

determining the possibility of splitting the sub block by determining whether the ratio of maximum MAD to minimum MAD of the smaller sub block is greater than a threshold value for determining the possibility of splitting the sub block; and determining whether to split the sub block by comparing the threshold value for determining the possibility of splitting the sub block, the ratio of maximum MAD to minimum MAD, and a threshold value for determining whether to split the sub block with one another, if the ratio of maximum MAD to minimum MAD is greater than the threshold value for determining the possibility of splitting the sub block in the operation of determining the possibility of splitting the sub block in the ratio of maximum MAD to minimum MAD of the smaller sub block is greater than a threshold value for determining the possibility of splitting the sub block (covered by method above).

Mancini et al. does not teach comparing the threshold value for determining the possibility of splitting the macro block with the ratio of maximum MAD to minimum MAD, comparing the threshold value for determining the possibility of splitting the sub block with the ratio of maximum MAD to minimum MAD, and that the ratio greater than the threshold for determining the possibility of splitting the macro block is the ratio of maximum MAD to minimum MAD, and that the ratio greater than the threshold for determining the possibility of splitting the sub block is the ratio of maximum MAD to minimum MAD.

Boyce discloses a method comprising

comparing the threshold value for determining the possibility of splitting the macro block ("determined value B" at col. 4, line 44) with the ratio ("ratio of MAD<sub>o</sub>

/MAD<sub>min</sub>" at col. 4, line 56) of maximum MAD ("MAD<sub>o</sub> is the mean of the absolute differences between pixels in the block in a reference frame for which noise is to be reduced and the pixels in a block having the same position in another frame" at col. 4, line 28) to minimum MAD ("The matching block is the one having the minimum value of mean absolute difference, MAD, which is MAD<sub>min</sub>" at col. 4, line 34),

the ratio greater than the threshold for determining the possibility of splitting the macro block is the ratio of maximum MAD to minimum MAD ("If the ratio of MAD<sub>o</sub> /MAD<sub>min</sub> is less than B, it is considered that the differences between the blocks are due to noise" at col. 4, line 56; consequently, if the ratio is greater than B, the differences are considerable enough to be further processed)

comparing the threshold value for determining the possibility of splitting the sub block with the ratio of maximum MAD to minimum MAD (same process as above)

that the ratio greater than the threshold for determining the possibility of splitting the sub block is the ratio of maximum MAD to minimum MAD (see above explanation).

It would have been obvious at the time the invention was made to one of ordinary skill in the art for the threshold value of Mancini et al. to be compared using the ratio taught by Boyce as described above, such that a block "caused by a poor motion estimate such as due to a change in scene so that it is not included" (Boyce at column 2, line 49).

Application/Control Number: 10/769,777

Art Unit: 2609

11. Claims 5, 7, 17, 18, 20, 21, 23, 24, 31, 33, 43, 44, 46, 47, 49 and 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Mancini et al. and Keith et al. as applied to claims 2, 3 and 16 above, and further in view of Boyce.

Regarding claims 5, 7, 31 and 33, the Mancini et al. and Keith et al. combination discloses an apparatus (an apparatus is inherent to carry out the function of the method) and a method of splitting a block wherein the operation of setting a plurality of splitting threshold values for a macro block in an image frame and determining whether to split the macro block into sub blocks and wherein the operation of setting a plurality of splitting threshold values for each sub block and determining whether to split each sub block into smaller sub blocks comprises:

determining the possibility of splitting the macro block ("determine whether block B<sub>ij</sub> requires splitting", Mancini et al. at section 6.5.1, paragraph 3, line 6) by determining whether the ratio of maximum mean absolute difference (MAD) to minimum MAD of a sub block ("max( $\kappa_i$ , i = 1,...,4)/min( $\kappa_i$ , l = 1,...,4) <  $\theta_3$ " at section 6.5.1, paragraph 3, line 9; "ratio of the maximum to the minimum number of outliers in the four sub-blocks" Mancini et al. at section 6.5.1, paragraph 3, line 13) in the macro block is greater than a threshold value ("threshold value  $\theta_3$ " Mancini et al. at section 6.5.1, paragraph 3, line 12) for determining the possibility of splitting the macro block; and

determining whether to split the macro block by comparing the threshold value for determining the possibility of splitting the macro block ("Threshold  $\theta_2$ " at section 6.5.1, paragraph 3, line 11), and comparing the ratio of maximum MAD to minimum MAD, and a threshold value for determining whether to split the macro block with one

another ("max( $\kappa_i$ , i=1,...,4)/min( $\kappa_i$ , l=1,...,4) <  $\theta_3$ " at section 6.5.1, paragraph 3, line 9; "ratio of the maximum to the minimum number of outliers in the four sub-blocks" Mancini et al. at section 6.5.1, paragraph 3, line 13), if a ratio is greater than the threshold value for determining the possibility of splitting the macro block ("if  $\Sigma \kappa_i < \theta_2$  then do not split, otherwise continue" Mancini et al. at section 6.5.1, paragraph 3, line 8; "tolerable number of outliers as a fraction of the block size" Mancini et al. at section 6.5.1, paragraph 3, line 11) in the operation of setting a plurality of splitting threshold values ("The steps above rely on three threshold values" Mancini et al. at section 6.5.1, paragraph 3, line 10) for the macro block ("block  $B_{ij}$ " Mancini et al. at section 6.5.1, paragraph 3, line 4) in the image frame and determining whether to split the macro block into the sub blocks ("determine whether block  $B_{ij}$  requires splitting" Mancini et al. at section 6.5.1, paragraph 3, line 6),

determining the possibility of splitting the sub block by determining whether the ratio of maximum MAD to minimum MAD of the smaller sub block is greater than a threshold value for determining the possibility of splitting the sub block (covered by method above); and

determining whether to split the sub block by comparing the threshold value for determining the possibility of splitting the sub block, and comparing the ratio of maximum MAD to minimum MAD, and a threshold value for determining whether to split the sub block with one another, if a ratio is greater than the threshold value for determining the possibility of splitting the sub block in the operation of determining the possibility of splitting the sub block by determining whether the ratio of maximum MAD

Application/Control Number: 10/769,777

Art Unit: 2609

to minimum MAD of the smaller sub block is greater than the threshold value for determining the possibility of splitting the sub block (covered by method above).

The Mancini et al. and Keith combination does not teach comparing the threshold value for determining the possibility of splitting the macro block with the ratio of maximum MAD to minimum MAD, comparing the threshold value for determining the possibility of splitting the sub block with the ratio of maximum MAD to minimum MAD, and that the ratio greater than the threshold for determining the possibility of splitting the macro block and the ratio greater than the threshold for determining the possibility of splitting the micro block is the ratio of maximum MAD to minimum MAD.

Boyce discloses a method comprising

comparing the threshold value for determining the possibility of splitting the macro block ("determined value B" at col. 4, line 44) with the ratio ("ratio of MAD<sub>o</sub> /MAD<sub>min</sub>" at col. 4, line 56) of maximum MAD ("MAD<sub>o</sub> is the mean of the absolute differences between pixels in the block in a reference frame for which noise is to be reduced and the pixels in a block having the same position in another frame" at col. 4, line 28) to minimum MAD ("The matching block is the one having the minimum value of mean absolute difference, MAD, which is MAD<sub>min</sub>" at col. 4, line 34),

the ratio greater than the threshold for determining the possibility of splitting the macro block is the ratio of maximum MAD to minimum MAD ("If the ratio of MAD<sub>o</sub> /MAD<sub>min</sub> is less than B, it is considered that the differences between the blocks are due to noise" at col. 4, line 56; consequently, if the ratio is greater than B, the differences are considerable enough to be further processed)

comparing the threshold value for determining the possibility of splitting the sub block with the ratio of maximum MAD to minimum MAD (same process as above)

that the ratio greater than the threshold for determining the possibility of splitting the sub block is the ratio of maximum MAD to minimum MAD (see above explanation).

It would have been obvious at the time the invention was made to one of ordinary skill in the art for the threshold value of the Mancini et al. and Keith et al. combination to be compared using the ratio taught by Boyce as described above, such that a block "caused by a poor motion estimate such as due to a change in scene so that it is not included" (Boyce at column 2, line 49).

Regarding claims 17, 18, 43 and 44, the Mancini et al. and Keith et al. combination discloses an apparatus (an apparatus is inherent to carry out the function of the method) and a method wherein the operation of setting a plurality of macro block splitting threshold values for splitting a macro block in an image frame into sub blocks and determining whether to split the macro block according to whether a macro block at the same location in a preceding image frame as the current macro block has been split and wherein the operation of setting a plurality of macro block splitting threshold values for splitting a macro block in an image frame into sub blocks and determining whether to split the macro block according to whether a macro block at the same location in a preceding image frame as the current macro block has been split comprises:

determining the possibility of splitting the macro block ("determine whether block B<sub>ij</sub> requires splitting" at section 6.5.1, paragraph 3, line 6) by determining whether the ratio of maximum mean absolute difference (MAD) to minimum MAD of a sub block

("max( $\kappa_i$ , i = 1,...,4)/min( $\kappa_i$ , I = 1,...,4) <  $\theta_3$ " at section 6.5.1, paragraph 3, line 9; "ratio of the maximum to the minimum number of outliers in the four sub-blocks" at section 6.5.1, paragraph 3, line 13) in the macro block is greater than a threshold value ("threshold value  $\theta_3$ " at section 6.5.1, paragraph 3, line 12) for determining the possibility of splitting the macro block,

determining whether the ratio of maximum of maximum MAD to minimum MAD is less than the threshold value for determining whether to split the macro block ("max( $\kappa_i$ , i = 1,...,4)/min( $\kappa_i$ , I = 1,...,4) <  $\theta_3$ " at section 6.5.1, paragraph 3, line 9; "ratio of the maximum to the minimum number of outliers in the four sub-blocks" at section 6.5.1, paragraph 3, line 13),

determining whether the preceding macro block has been split ("region of a previous frame may be found that corresponds fairly well to a region being coded in a current frame" Keith et al. at col. 25, line 55) if the ratio is less than the threshold value for determining whether to split the macro block ("max( $\kappa_i$ , i = 1,...,4)/min( $\kappa_i$ , l = 1,...,4) <  $\theta_3$ " Mancini et al. at section 6.5.1, paragraph 3, line 9),

determining not to split the macro block if the preceding macro block has not been split and determining to split the macro block if the preceding macro block has been split ("If the comparison indicates that this best choice for a translated region does not provide an acceptable match to the target region, the target region is checked for minimum size (3924). If the target region is larger than the minimum size, it is split", Keith et al. at col. 27, line 16),

determining the possibility of splitting the sub block by determining whether the ratio of maximum mean absolute difference (MAD) to minimum MAD of the smaller sub block is greater than a threshold value for determining the possibility of splitting the sub block (covered by method above)

determining whether the ratio of maximum of maximum MAD to minimum MAD is less than the threshold value for determining whether to split the sub block (covered by method above),

determining whether the preceding sub block has been split if the ratio is less than the threshold value for determining whether to split the sub block (covered by method above); and

determining not to split the sub block if the preceding sub block has not been split and determining to split the sub block if the preceding sub block has been split (covered by method above).

The Mancini et al. and Keith et al. combination does not teach a method determining whether the ratio of maximum MAD to minimum MAD is between the threshold value for determining the possibility of splitting the macro block and a threshold value for determining whether to split the macro block;

determining whether the preceding macro block has been split if the ratio is between the two threshold values in the operation of determining whether the ratio of maximum MAD to minimum MAD is between the threshold value for determining the possibility of splitting the macro block and the threshold value for determining whether to split the macro block

determining whether the ratio of maximum MAD to minimum MAD is between the threshold value for determining the possibility of splitting the sub block and a threshold value for determining whether to split the sub block;

determining whether the preceding sub block has been split if the ratio is between the two threshold values in the operation of determining whether the ratio of maximum MAD to minimum MAD is between the threshold value for determining the possibility of splitting the sub block and the threshold value for determining whether to split the sub block.

However, by determining whether the ratio is greater than the threshold value for determining the possibility of splitting the macro block (and subsequently the threshold value for determining the possibility of splitting the sub block), prior to determining whether the ratio of maximum of maximum MAD to minimum MAD is less than the threshold value for determining whether to split the macro block (and subsequently for the sub block), one would thereby determine whether the ratio is in between the two thresholds. If so, then determination of whether the preceding macro block (and subsequently sub block) has been split can occur.

Boyce discloses a method comprising determining whether the ratio of maximum MAD to minimum MAD ("ratio of MAD<sub>o</sub> /MAD<sub>min</sub>" at col. 4, line 56) is greater than the threshold value ("determined value B" at col. 4, line 44) for determining the possibility of splitting the macro block ("If the ratio of MAD<sub>o</sub> /MAD<sub>min</sub> is less than B, it is considered that the differences between the blocks are due to noise" at col. 4, line 56;

consequently, if the ratio is greater than B, the differences are considerable enough to be further processed) and

determining whether the ratio of maximum MAD to minimum MAD is greater than the threshold value for determining the possibility of splitting the sub block (same method as above).

It would have been obvious at the time the invention was made to one of ordinary skill in the art for the threshold value of the Mancini et al. and Keith et al. combination to be compared using the ratio taught by Boyce as described above, such that a block "caused by a poor motion estimate such as due to a change in scene so that it is not included" (Boyce at column 2, line 49).

Regarding **claims 20, 21, 46 and 47**, Mancini et al. discloses an apparatus (an apparatus is inherent to carry out the function of the method) and a method wherein the image frame is a binocular image frame representing a three dimensional image as described in the 102 rejection of claim 10 above.

Regarding **claims 23, 24, 49 and 50**, Mancini et al. discloses an apparatus (an apparatus is inherent to carry out the function of the method) and a method wherein the operation of splitting is performed using quadtree disparity estimation as described in the 102 rejection of claim 13 above.

12. Claims 8, 9, 34 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Mancini et al. and Boyce as applied to claims 4 and 6 above, and further in view of Keith et al.

The Mancini et al. and Boyce combination discloses an apparatus (an apparatus is inherent to carry out the function of the method) and a method wherein the operation of determining whether to split the macro block by comparing the threshold value for determining the possibility of splitting the macro block, the ratio of maximum MAD to minimum MAD, and a threshold value for determining whether to split the macro block with one another, if the ratio of maximum MAD to minimum MAD is greater than the threshold value for determining the possibility of splitting the macro block in the operation of determining the possibility of splitting the macro block by determining whether the ratio of maximum MAD to minimum MAD of the macro block is greater than a threshold value for determining the possibility of splitting the macro block comprises:

determining whether the ratio of maximum MAD to minimum MAD is between the threshold value for determining the possibility of splitting the macro block and the threshold value for determining whether to split the macro block;

determining to split the macro block if the ratio is between the two threshold values in the operation of determining whether the ratio of maximum MAD to minimum MAD is between the threshold value for determining the possibility of splitting the macro block and the threshold value for determining whether to split the macro block ("otherwise split block B<sub>ii</sub>" at section 6.5.1., paragraph 3, line 9)

determining whether the ratio of maximum MAD to minimum MAD is between the threshold value for determining the possibility of splitting the sub block and the threshold value for determining whether to split the sub block;

determining to split the sub block if the ratio is between the two threshold values in the operation of determining whether the ratio of maximum MAD to minimum MAD is between the threshold value for determining the possibility of splitting the sub block and the threshold value for determining whether to split the sub block (same method as above).

The Mancini et al. and Boyce combination does not teach a method determining whether the preceding macro block has been split just before determining to split the macro block; and

determining not to split the macro block if the preceding macro block has not been split, and determining to split the macro block if the preceding macro block has been split

determining whether the preceding sub block has been split just before determining to split the sub block; and

determining not to split the sub block if the preceding sub block has not been split, and determining to split the sub block if the preceding sub block has been split.

Keith et al. discloses a system comprising the steps of determining whether the preceding macro block has been split ("If the comparison indicates that this best choice for a translated region does not provide an acceptable match to the target region, the target region is checked for minimum size (3924). If the target region is larger than the minimum size, it is split" at col. 27, line 16) just before determining to split the macro block (figure 39, numeral 3926).

determining not to split the macro block if the preceding macro block has not been split (figure 39, numeral 3928), and determining to split the macro block if the preceding macro block has been split (figure 39, numeral 3926)

determining whether the preceding sub block has been split just before determining to split the sub block (same method as above); and

determining not to split the sub block if the preceding sub block has not been split, and determining to split the sub block if the preceding sub block has been split (same method as above).

It would have been obvious at the time the invention was made to one of ordinary skill in the art for the split condition of the Mancini et al. and Boyce combination to be determined using the inter-frame coding taught by Keith et al. as described above, for "one need only code the differences rather than the absolute values" (Keith et al. at column 25, line 57).

13. Claims 30-35, 43, 44, 46, 47, 49 and 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Mancini et al., Keith and Boyce as applied to claims 4, 6, 17 and 18 above, and further in view of Sethuraman.

Mancini et al. teach the methods carried out by the apparatus as described in the 103 rejections of claims 4, 6, 17 and 18 above.

Mancini et al. does not explicitly disclose an apparatus to carry out the methods.

Sethuraman et al. discloses a system comprising

Application/Control Number: 10/769,777 Page 23

Art Unit: 2609

a macro block splitting possibility determining portion ("Estimate block disparity" in figure 3, third block)

a macro block splitting portion ("Divide a block into 4", figure 3, third block)

a sub block splitting possibility determining portion ("Estimate block disparity" in figure 3, third block after "Set the blocks from the previous level as the root nodes", figure 3, fourth block)

a sub block splitting portion ("Divide a block into 4", figure 3)

a preceding macro block splitting determiner ("Else, go to the next higher resolution level" in figure 3, fourth block)

a macro block splitting final determiner ("Stopping condition for recursion" in figure 3, third block)

a preceding sub block splitting determiner ("Else, go to the next higher resolution level" in figure 3, fourth block)

a sub block splitting final determiner ("Stopping condition for recursion" in figure 3, third block).

It would have been obvious at the time the invention was made to one of ordinary skill in the art to execute the methods of the Mancini et al., Keith and Boyce combination using the system taught by Sethuraman et al. as described above, to enable the methods to be performed.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Katrina Fujita whose telephone number is (571) 270-1574. The examiner can normally be reached on M-Th 8-5:30pm, F 8-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian P. Werner can be reached on (571) 272-7401. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

XA

Katrina Fujita Art Unit 2609

> BRIAN WERNER SUPERVISORY PATENT EXAMINER

Page 24